

# Insertion Sort

# Problem

- Problem

- input: sequence of  $n$  numbers  $\langle a_1, a_2, \dots, a_n \rangle$
- output:  $\langle a_1', a_2', \dots, a_n' \rangle$  such that  $a_1' \leq a_2' \leq \dots \leq a_n'$

- Idea

- 왼쪽부터 차례로 정렬
- 새로운 카드를 정렬 위치에 삽입

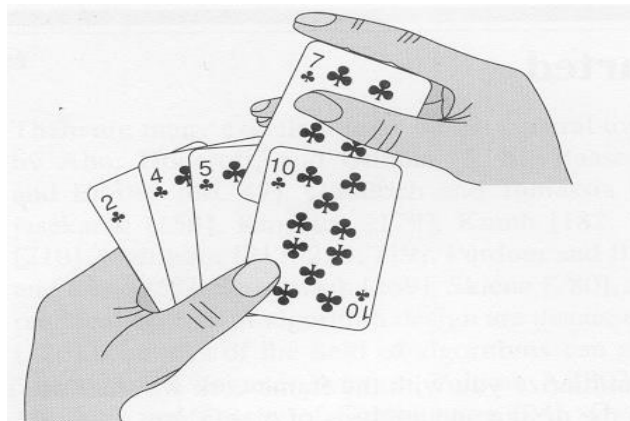


Figure 2.1 Sorting a hand of cards using insertion sort.

# Algorithm

- Method: 새로운 카드를 삽입하는 방법

## (1) Selection Sort

오른쪽 카드의 값 중 최소값을 찾아 자리 교환

(ex) <5, 2, 4, 6, 1, 3>

탐색 수: 20

|   |   |   |   |   |   |     |
|---|---|---|---|---|---|-----|
| 5 | 2 | 4 | 6 | 1 | 3 | (6) |
| 1 | 2 | 4 | 6 | 5 | 3 | (5) |
| 1 | 2 | 4 | 6 | 5 | 3 | (4) |
| 1 | 2 | 3 | 6 | 5 | 4 | (3) |
| 1 | 2 | 3 | 4 | 5 | 6 | (2) |
| 1 | 2 | 3 | 4 | 5 | 6 |     |

## (2) Insertion Sort

왼쪽 카드의 값이 내 카드의 값보다 크면 자리 교환

탐색 수: 11

|   |   |   |   |   |   |     |
|---|---|---|---|---|---|-----|
| 5 | 2 | 4 | 6 | 1 | 3 | (1) |
| 2 | 5 | 4 | 6 | 1 | 3 | (2) |
| 2 | 4 | 5 | 6 | 1 | 3 | (1) |
| 2 | 4 | 5 | 6 | 1 | 3 | (4) |
| 1 | 2 | 4 | 5 | 6 | 3 | (3) |
| 1 | 2 | 3 | 4 | 5 | 6 |     |

Incremental Approach

# Algorithm

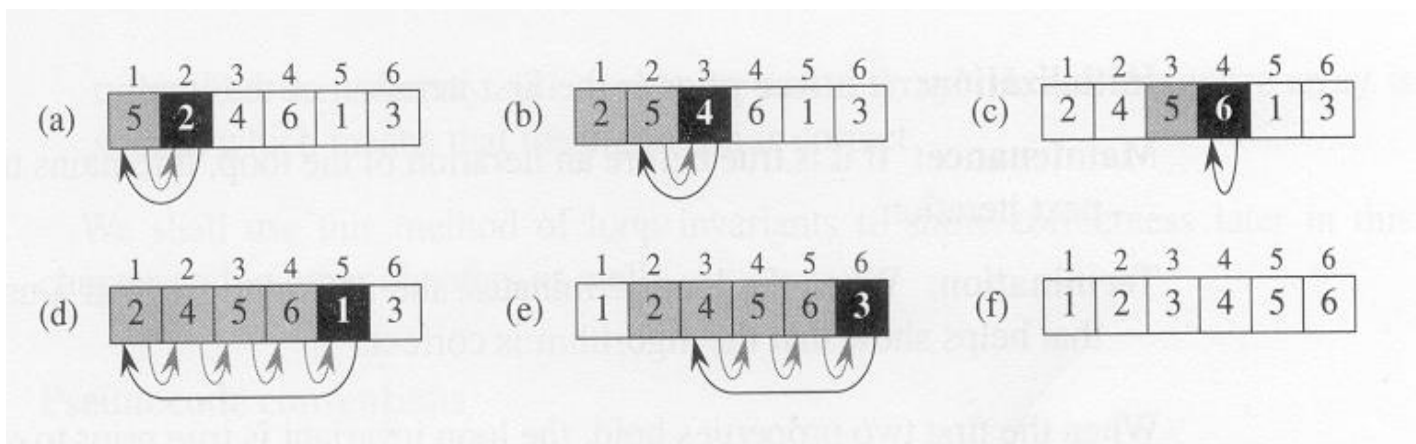
- Operation

- Key 선택: 왼쪽에서 오른쪽으로 하나 씩 증가

- ⇒ Loop 1

- Key 삽입: 선택 Key를 왼쪽의 정렬된 배열의 적당 위치에 삽입

- ⇒ Loop 2



# Algorithm

- Algorithm

```
INSERTION-SORT(A)
```

```
1  for  $j \leftarrow 2$  to  $\text{length}[A]$ 
```

```
2      do  $\text{key} \leftarrow A[j]$ 
```

```
3           $\triangleright$  Insert  $A[j]$  into the sorted sequence  $A[1..j-1]$ .
```

```
4           $i \leftarrow j - 1$ 
```

```
5          while  $i > 0$  and  $A[i] > \text{key}$ 
```

```
6              do  $A[i + 1] \leftarrow A[i]$ 
```

```
7                   $i \leftarrow i - 1$ 
```

```
8           $A[i + 1] \leftarrow \text{key}$ 
```

## ❖ Pseudocode

1) Block { }: indentation

2) Loop: *for*, *while*, *repeat*, Condition: *if*, *then*, *else*

3) Comment:  $\triangleright$

4) Assignment:  $,$   $i \leftarrow j$  ,  $i \leftarrow j \leftarrow e$

5) Variables:  $i, j, \text{keys}$

6) Array:  $A[i]$ = $i$ -the element of array  $A$ ,

$A[1..j]$ =subarray of  $A(A[1],A[2],\dots,A[j])$

# Algorithm

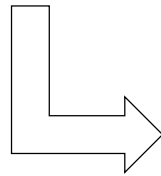
(Q)  $A = \langle 5, 2, 4, 6, 1, 3 \rangle$

# Program

- Program by C

INSERTION-SORT(A)

```
1 for  $j \leftarrow 2$  to  $\text{length}[A]$ 
2   do  $\text{key} \leftarrow A[j]$ 
3      $\triangleright$  Insert  $A[j]$  into the sorted sequence  $A[1..j-1]$ .
4      $i \leftarrow j - 1$ 
5     while  $i > 0$  and  $A[i] > \text{key}$ 
6       do  $A[i + 1] \leftarrow A[i]$ 
7          $i \leftarrow i - 1$ 
8      $A[i + 1] \leftarrow \text{key}$ 
```



```
void InsertionSort(int* A)
{
    for(int j=1; j<LENGTH; j++)
    {
        int key = A[j];
        // Insert A[j] into the sorted sequence A[0..j-1]
        int i = j-1;
        while((i >= 0) && (A[i] > key))
        {
            A[i+1] = A[i];
            i--;
        }
        A[i+1]=key;
    }
}
```

# Analysis

- Correctness

- 알고리즘이 정확하게 동작함을 증명하는 방법

- 연역적 방법 (deduction)

- 귀납적 방법 (induction)

- Loop Invariant (루프 불변성)

- 귀납적 방법

- 1) Initialization (초기조건)

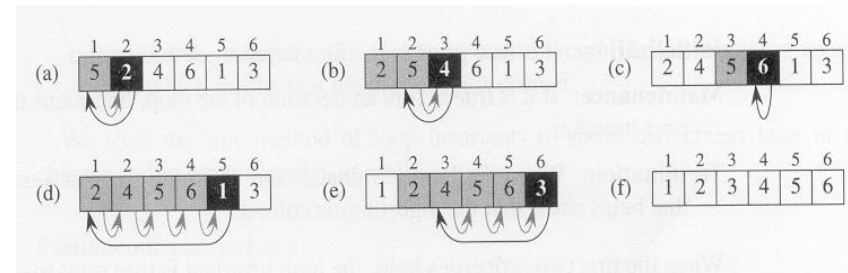
It is true prior to the 1<sup>st</sup> iteration of the loop :  $A[1]$

- 2) Maintenance (유지조건)

If it is true before an iteration of the loop, it remains true before the next iteration :  $A[1, \dots, j-1]$

- 3) Termination (종료조건)

when the loop terminates :  $A[1, \dots, n]$





# Analysis

- Running Time

- $T(n)$
- $n$  : input size (  $length[A]$  )

|   | <i>cost</i> | <i>times</i>             |
|---|-------------|--------------------------|
| INSERTION-SORT( <i>A</i> )  |             |                          |
| 1 <b>for</b> $j \leftarrow 2$ <b>to</b> $length[A]$                   | $c_1$       | $n$                      |
| 2 <b>do</b> $key \leftarrow A[j]$                                     | $c_2$       | $n - 1$                  |
| 3         ▷ Insert $A[j]$ into the sorted<br>sequence $A[1..j - 1]$ . | 0           | $n - 1$                  |
| 4 $i \leftarrow j - 1$  | $c_4$       | $n - 1$                  |
| 5 <b>while</b> $i > 0$ and $A[i] > key$                               | $c_5$       | $\sum_{j=2}^n t_j$       |
| 6 <b>do</b> $A[i + 1] \leftarrow A[i]$                                | $c_6$       | $\sum_{j=2}^n (t_j - 1)$ |
| 7 $i \leftarrow i - 1$  | $c_7$       | $\sum_{j=2}^n (t_j - 1)$ |
| 8 $A[i + 1] \leftarrow key$   | $c_8$       | $n - 1$                  |

$$T(n) = c_1 n + c_2 (n - 1) + c_4 (n - 1) + c_5 \sum_{j=2}^n t_j + c_6 \sum_{j=2}^n (t_j - 1) + c_7 \sum_{j=2}^n (t_j - 1) + c_8 (n - 1)$$

$t_j$  :  $j$ 에 대한 while loop 의 실행 횟수

$$t_j = \begin{cases} 1, & key(A[j]) \text{ 값이 왼쪽값들 중 최대일 때, best case} \\ j, & key(A[j]) \text{ 값이 왼쪽값들 중 최소일 때, worst case} \end{cases}$$

# Analysis

- Running Time

- (1) Best Case

$$\begin{aligned} T(n) &= (c_1 + c_2 + c_4 + c_5 + c_8)n - (c_2 + c_4 + c_5 + c_8) \\ &= an + b \end{aligned}$$

(ex) input = <1, 2, 3, 4, 5, 6>

- (2) Worst Case

$$\begin{aligned} T(n) &= \left(\frac{c_5}{2} + \frac{c_6}{2} + \frac{c_7}{2}\right)n^2 + (c_1 + c_2 + c_4 + \frac{c_5}{2} - \frac{c_6}{2} - \frac{c_7}{2} + c_8)n - (c_2 + c_4 + c_5 + c_8) \\ &= an^2 + bn + c \end{aligned}$$

(ex) input = <6, 5, 4, 3, 2, 1>

⇒ 일반적으로 running time은 Worst Case 를 기준으로 표현

- Rate of Growth / Order of Growth

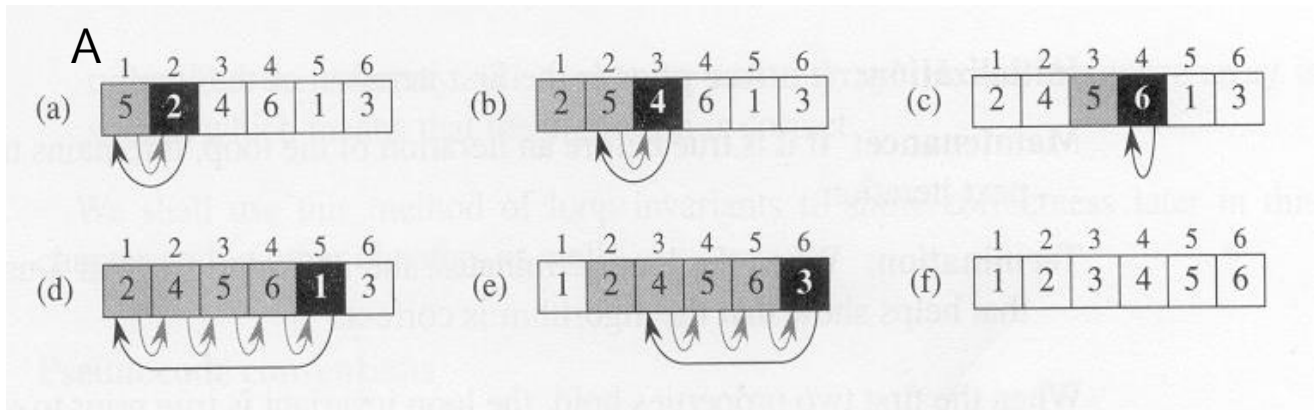
- 충분히 큰 input size ( $n$ ) 에 대한 running time 을 '근사적'으로 표현

$$an^2 + bn + c \propto an^2 \propto n^2$$

$$\Theta(n^2)$$

# Analysis

- Memory
  - In-place sorting
    - 입력된 배열 (A) 내에서 정렬수행
    - 별도의 메모리를 사용하지 않음



# Example

- Bubble Sort Algorithm

```
BUBBLESORT(A)
1  for i ← 1 to length[A]
2      do for j ← length[A] downto i+1
3          do if A[j] < A[j-1]
4              Then exchange A[j] ↔ A[j-1]
```

1)  $A = \langle 5, 2, 4, 6, 1, 3 \rangle$

2) Running Time (best / worst)

# Example

- Linear search algorithm

- 1) Problem

input :  $A = \langle a_1, a_2, \dots, a_n \rangle$  and a value  $v$

output : an index  $i$  such that  $v = A[i]$  or

NIL if  $v$  does not appear in  $A$

- 2) Operation

- 순차적으로  $i$  를 1부터  $n$  까지 증가시키며 탐색
- incremental approach

- 3) Algorithm

- 4) Analysis

- running time
- memory